

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Elena K. Davydova., <i>et al.</i>	Group No.: 1637
Serial No.: 10/743,975	Examiner: Bertagna
Filed: 12/23/2003	
Entitled: Target-Dependent Transcription Using Deletion Mutants Of N4 RNA Polymerase	

**REQUEST TO CORRECT INVENTORSHIP IN A
NONPROVISIONAL PATENT APPLICATION
UNDER 37 C.F.R. §1.48(a)(1)**

EFS WEB FILED
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the United States Patent and Trademark Office correct inventorship in the above-captioned nonprovisional patent application for which an oath or declaration has already been filed. Specifically, Applicants request that the Office change the inventorship in this application from Gary A. DAHL, Svetlana Y. GERDES, Jerome J. JENDRISAK, Lucia B. ROTHMAN-DENES, and Elena K. DAVYDOVA to:

- A) Lucia B. ROTHMAN-DENES;
- B) Elena K. DAVYDOVA; and
- C) Krystyna Maria KAZMIERCZAK.

This request is accompanied by:

- (1) A statement from Gary A. DAHL, one of the inventors who is being deleted as an inventor, stating that the error in inventorship was made without deceptive intent on his part;
- (2) A statement from Svetlana Y. GERDES, one of the inventors who is being deleted as an inventor, stating that the error in inventorship was made without deceptive intent on her part;

(3) A statement from Jerome J. JENDRISAK, one of the inventors who is being deleted as an inventor, stating that the error in inventorship was made without deceptive intent on his part;

(4) A statement from Krystyna Maria KAZMIERCZAK, an inventor who is being added as an inventor, stating that the error in inventorship was made without deceptive intent on her part;

(5) A Declaration by the actual inventors as required by 37 C.F.R. § 1.63 or as permitted by 37 C.F.R. §§ 1.42, 1.43 or 1.47;

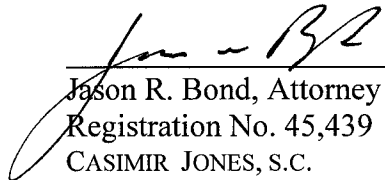
(6) Written Consent of Assignee, The University of Chicago;

(7) Written Consent of Assignee, Epicentre Technologies; and

(8) The processing fee as set forth under 37 C.F.R. § 1.17(i), in the amount of \$130.00.

The Commissioner is hereby authorized to charge any fees during the entire pendency of this application, including fees due under 37 C.F.R. §§ 1.16 and 1.17 that may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-4302, referencing Attorney Docket No. EPICE-09587.

Dated: 3/1/10



Jason R. Bond, Attorney
Registration No. 45,439
CASIMIR JONES, S.C.
2275 Deming Way, Suite 310
Middleton, WI 53562
608/662-1277

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Elena K. Davydova., <i>et al.</i>	Group No.:	1637
Serial No.:	10/743,975	Examiner:	Bertagna
Filed:	12/23/2003		
Entitled:	Target-Dependent Transcription Using Deletion Mutants Of N4 RNA Polymerase		

**STATEMENT UNDER 37 C.F.R. §1.48(a)(2) OF A
PERSON TO BE DELETED AS AN INVENTOR**

EFS WEB FILED
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In light of the change in the claims, I am now mistakenly listed as an inventor of the invention of one or more claims in the above-captioned nonprovisional application for which an oath or declaration under 37 C.F.R. § 1.63 has been filed naming me as an inventor. The inventorship error occurred without deceptive intent on my part.

Date: February 19, 2010

Gary A. Dahl
DAHL, Gary A.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Elena K. Davydova., <i>et al.</i>	Group No.:	1637
Serial No.:	10/743,975	Examiner:	Bertagna
Filed:	12/23/2003		
Entitled:	Target-Dependent Transcription Using Deletion Mutants Of N4 RNA Polymerase		

**STATEMENT UNDER 37 C.F.R. §1.48(a)(2) OF A
PERSON TO BE DELETED AS AN INVENTOR**

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Alexandria, VA 22313-1450

Sir:

In light of the change in the claims, I am now mistakenly listed as an inventor of the invention of one or more claims in the above-captioned nonprovisional application for which an oath or declaration under 37 C.F.R. § 1.63 has been filed naming me as an inventor. The inventorship error occurred without deceptive intent on my part.

Date: 02-22-2010

Svetlana Gerdes
GERDES, Svetlana Y.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Elena K. Davydova., <i>et al.</i>	Group No.: 1637
Serial No.:	10/743,975	Examiner: Bertagna
Filed:	12/23/2003	
Entitled:	Target-Dependent Transcription Using Deletion Mutants Of N4 RNA Polymerase	

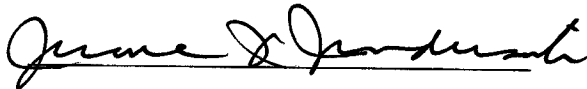
**STATEMENT UNDER 37 C.F.R. §1.48(a)(2) OF A
PERSON TO BE DELETED AS AN INVENTOR**

EFS WEB FILED
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P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In light of the change in the claims, I am now mistakenly listed as an inventor of the invention of one or more claims in the above-captioned nonprovisional application for which an oath or declaration under 37 C.F.R. § 1.63 has been filed naming me as an inventor. The inventorship error occurred without deceptive intent on my part.

Date: 2/20/10


JENDRISAK, Jerome J.

PATENT
Attorney Docket No. EPICE-09587

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Elena K. Davydova., <i>et al.</i>	Group No.: 1637
Serial No.: 10/743,975	Examiner: Bertagna
Filed: 12/23/2003	
Entitled: Target-Dependent Transcription Using Deletion Mutants Of N4 RNA Polymerase	

**STATEMENT UNDER 37 C.F.R. §1.48(a)(2) OF A
PERSON TO BE ADDED AS AN INVENTOR**

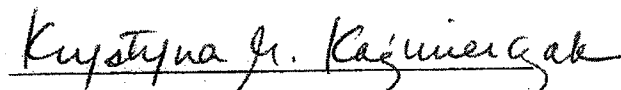
EFS WEB FILED
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I am mistakenly not listed as an inventor of the invention of one or more claims in the above-captioned nonprovisional application for which an oath or declaration under 37 C.F.R. § 1.63 has been filed without naming me as an inventor. The inventorship error occurred without deceptive intent on my part.

Date:

2/18/2010


KAZMIERCZAK, Krystyna Maria

Doc Code: Oath

Document Description: Oath or declaration filed

Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	EPICE-09587
	First Named Inventor	Elena K. Davydova
	COMPLETE IF KNOWN	
	Application Number	10/743,975
	Filing Date	12/23/2003
	Art Unit	1637
<input type="checkbox"/> Declaration Submitted With Initial Filing	OR	<input checked="" type="checkbox"/> Declaration Submitted After Initial Filing (surcharge (37 CFR 1.16(f)) required)
Examiner Name		Bertagna

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled:

Target-Dependent Transcription Using Deletion Mutants Of N4 RNA Polymerase

(Title of the Invention)

the application of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 12/23/2003 as United States Application Number or PCT International
Application Number 10/743,975 and was amended on (MM/DD/YYYY) 07/22/2009 (recent) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

Authorization To Permit Access To Application by Participating Offices

☒ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

[Page 1 of 3]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION — Utility or Design Patent Application

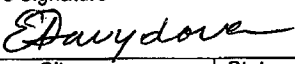
Claim of Foreign Priority Benefits

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application number(s) are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to:	<input checked="" type="checkbox"/> The address associated with Customer Number:	<input type="checkbox"/> OR <input type="checkbox"/> Correspondence address below
Name Address City State Zip Country Telephone Email		
WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/SB/01) are placed into the Privacy Act system of records DEPARTMENT OF COMMERCE, COMMERCE-PAT-7, System name: <i>Patent Application Files</i> . Documents not retained in an application file (such as the PTO-2038) are placed into the Privacy Act system of COMMERCE/PAT-TM-10, System name: <i>Deposit Accounts and Electronic Funds Transfer Profiles</i> . I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.		
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor
Given Name (first and middle [if any]) Elena K.		Family Name or Surname Davydova
Inventor's Signature 		Date 02-15-2010
Residence: City Chicago	State IL	Country US
Citizenship US		
Mailing Address 6234 S. Dorchester, Apt. 1A		
City Chicago	State IL	Zip 60637
Country US		
<input type="checkbox"/> Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/SB/02A or 02LR attached hereto		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental Sheet

Page _____ of _____

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Krystyna Maria		Kazmierczak	
Inventor's Signature <i>Krystyna J. Kazmierczak</i>		Date <i>2/18/2010</i>	
<i>KMK</i> Park Ridge Residence: City	<i>BLOOMINGTON</i> State	<i>IN</i> US Country	US Citizenship
<i>640 Forestview Avenue</i> Mailing Address			
<i>JORDAN HALL Rm. A403</i> <i>1001 E. THIRD ST.</i>			
<i>KMK</i> Park Ridge City	<i>BLOOMINGTON</i> State	<i>60660</i> Zip	<i>47405</i> US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Lucia B.		Rothman-Denes	
Inventor's Signature		Date	
Chicago Residence: City	IL State	US Country	US Citizenship
<i>920 East 58th Street, CSLC 829A</i> Mailing Address			
Chicago City	IL State	<i>60637</i> Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental Sheet

Page _____ of _____

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Krystyna Maria		Kazmierczak	
Inventor's Signature		Date	
Park Ridge Residence: City	IL State	US Country	US Citizenship
640 Forestview Avenue Mailing Address			
Park Ridge City	IL State	60068 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Lucia B.		Rothman-Denes	
Inventor's Signature <i>Lucia B. Rothman-Denes</i>		Date <i>2/17/2010</i>	
Chicago Residence: City	IL State	US Country	US Citizenship
420 East 58th Street, C5LC 629A <i>5830 STONY ISLAND AVE Apt 9A LB RD</i> Mailing Address			
Chicago City	IL State	60637 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

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Attorney Docket No. **EPICE-09587**

In re Application of: Elena K. Davydova, et al.

Serial No.: 10/743,975

Group No.: 1637

Filed: 012/23/2003

Examiner: Bertagna

Entitled: **Target-Dependent Transcription Using Deletion Mutants Of N4 RNA Polymerase**

**WRITTEN CONSENT OF ASSIGNEE TO CORRECT
INVENTORSHIP IN A NONPROVISIONAL PATENT**

EFS WEB FILED

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The assignee, The University of Chicago, by virtue of an Assignment recorded with the United States Patent and Trademark Office on January 12, 2007 at reel/frame number 018790/0663, consents to correct inventorship in the above-captioned nonprovisional patent application for which an oath or declaration has already been filed. Specifically, assignee consents to change the inventorship in this application from Gary A. DAHL, Svetlana Y. GERDES, Jerome J. JENDRISAK, Lucia B. ROTHMAN-DENES, and Elana K. DAVYDOVA

- A) Lucia B. ROTHMAN-DENES

B) Elana K. DAVYDOVA; and

C) Krystyna Maria KAZMIERCZAK

Dated: 2/11/2010

THE UNIVERSITY OF CHICAGO

By:

(Print Name) Alan Thomas

Its: (Print Title) Director, UChicagoTech
5801 South Ellis Avenue
Chicago, IL 60637

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Elena K. Davydova, et al.
Serial No.: 10/743,975
Filed: 012/23/2003
Entitled: **Target-Dependent Transcription Using Deletion Mutants Of N4 RNA Polymerase**

Group No.: 1637
Examiner: Bertagna

**WRITTEN CONSENT OF ASSIGNEE TO CORRECT
INVENTORSHIP IN A NONPROVISIONAL PATENT
APPLICATION UNDER 37 C.F.R. §1.48(a)(1)**

EFS WEB FILED
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The assignee, Epicentre Technologies, by virtue of an Assignment recorded with the United States Patent and Trademark Office on January 12, 2007 at reel/frame number 018778/0030, consents to correct inventorship in the above-captioned nonprovisional patent application for which an oath or declaration has already been filed. Specifically, assignee consents to change the inventorship in this application from Gary A. DAHL, Svetlana Y. GERDES, Jerome J. JENDRISAK, Lucia B. ROTHMAN-DENES, and Elena K. DAVYDOVA to:

- A) Lucia B. ROTHMAN-DENES
- B) Elena K. DAVYDOVA; and
- C) Krystyna Maria KAZMIERCZAK

Dated:

2/23/2010

EPICENTRE TECHNOLOGIES

By:

Gary A. Dahl

Gary Dahl
Its: President and CEO
726 Post Road
Madison, WI 53713